

Small Cap Company Symposium Agenda

NACD | GLOBAL BOARD LEADERS' SUMMIT | SEPTEMBER 21 – 24, 2019 | WASHINGTON, DC

SATURDAY, SEPTEMBER 21, 2019

11:00 a.m. – 11:05 a.m.

Welcoming Remarks



Stephanie Barko

Manager, Partner Relations, NACD

11:05 a.m. – 12:00 p.m.

Engagement with the C-Suite and the Board: Success and Succession

As a key emphasis in the anticipated release of the Report of the *NACD Blue Ribbon Commission on the Future of Board Leadership*, join a panel of seasoned directors and executives as we focus on good practices in engagement between the C-suite and the board and the continued focus on aligning organizational succession for the future with long-term business strategies, goals and objectives.



Cynthia Clark

Director, Harold S. Geneen Institute of Corporate Governance; Professor, Management, Bentley University



Evelyn Dilsaver

Director, Bailard Real Estate Investment Trust, Blue Shield of California, Tempur Sealy International Inc., HealthEquity Inc.



Brenda Lauderback

Chair, Denny's Corp.; Director, Sleep Number Inc., Wolverine Worldwide Inc.



Amy Rojik

Partner, National Assurance, BDO

12:00 p.m. – 12:45 p.m.

Networking Break

Lunch is provided.

12:45 p.m. – 1:30 p.m.

The Next Crisis Could Be Imminent – Is Your Small Cap Board Up for the Challenge?

No board is ever fully ready for a crisis but with a little pre-planning even those with the leanest of resources at their disposal can educate themselves to potential risks and appropriate responses to aid in their preparedness. Hear from experienced professionals about current threat landscapes and expectations for directors.



Marlene Timberlake D'Adamo
Chief Compliance Officer, CalPERS



Shelley Leibowitz
Director, E*TRADE Financial Corporation, Endgame



Sean Murphy
Managing Director, BDO



Frank Placenti
Partner, Squire Patton Boggs

1:30 p.m. – 1:45 p.m.

Networking Break

1:45 p.m. – 2:45 p.m.

Peer Exchange: Evolving Regulatory Landscape and Impact on Governance Oversight

As regulators continue to focus on ways to increase access to and trust within the U.S. capital markets, various oversight bodies continue to put forth new and proposed standards, rules and regulations that directly impact corporate governance and financial reporting and disclosure. Join our distinguished panel of Washington rule-makers, seasoned board members and experienced advisors as we cover major developments and respond to your questions.



Phillip Austin
National Assurance Managing Partner – Auditing, BDO



Lawrence R. Bard
Of Counsel, Morrison & Foerster LLP



Julie Bell Lindsay
Executive Director, Center for Audit Quality



Jim Stanker
Chief Financial Officer, Processa Pharmaceuticals; Audit Committee Chair, GSE Systems

2:45 p.m. – 3:00 p.m.

Networking Break

3:00 p.m. – 3:45 p.m.

What's Around the Corner: Emerging Risk and Opportunity for Small- and Mid-Caps

How is your board poised to embrace change and shift resourcing around technological advancements, dynamic workforce challenges and emerging areas from social media to data protection and privacy? Explore with us these and other areas where boards may find building demands on their time and attention. Potential topics to include:

- Tech impacts
- Changing workforce needs and sourcing
- Evolving governance – e.g. social media, data privacy and protections, etc.



Nora Denzel

Director, Ericsson Inc., Advanced Micro Devices Inc., Talend, NACD Northern California Chapter



Hitesh Shah

Business Development Director, BDO



Bonny Simi

President, JetBlue Technology Ventures; Director, Red Lion Hotel Corp., Pebblebrook Hotel Trust

3:45 p.m.

Forum Concludes
