

SMALL CAP Leadership Symposium Agenda

NACD | GLOBAL BOARD LEADERS' SUMMIT | SEPTEMBER 29–OCTOBER 2, 2018 | WASHINGTON, DC
PRESENTED IN PARTNERSHIP WITH BDO

SATURDAY, SEPTEMBER 29, 2018

1:00 p.m. – 1:05 p.m.

Welcoming Remarks



Henry Stoevers
Chief Marketing Officer, NACD



Amy Rojik
Partner, Center for Corporate Governance and Financial Reporting, BDO USA

1:05 p.m. – 1:45 p.m.

Sophistication of the Small-Cap Board Related to Data and Information Governance

Growing sensitivity and susceptibility to risk exposure and complexity posed by personal or other data maintained by companies is rapidly becoming an area of focus for responsible boards. The challenge may be even more confounding for small-cap companies that need to manage similar risks and regulatory compliance demands with fewer resources. We invite you to learn more from experts about what you can be doing with less in protecting your company in face of increasing risk and U.S. and global regulations.



Lauren Kitces
Global Privacy Manager, Willis Towers Watson



Karen Schuler
National Leader, Data & Information Governance, BDO USA LLP

1:45 p.m. – 2:00 p.m.

Networking Break



2:00 p.m. – 2:45 p.m.

Board Oversight of a Digital Transformation Strategy

As businesses adjust to the new normal of the digital revolution, determining how your management teams are dealing with impacts to critical area—including product development and relevance, talent sourcing, and risk management and clearly aligning your strategy with the organization’s objectives—becomes increasingly important. This is perhaps even more heightened for smaller organizations that may have more to gain or even more to lose in not getting this right. Hear from advisors and fellow board members who have already gone down this path and can share lessons learned.



Christine Heckart

SVP, CISCO; Director, Lam Research, 6sense



Jim Pflaging

Chair, Cybersecurity Committee, SailPoint Technologies; Director, SS8, Adaptive Mobile Security



Joe Sremack

Director, Data Analytics & Software Robotics, BDO USA LLP

2:45 p.m. – 3:00 p.m.

Networking Break

3:00 p.m. – 3:45 p.m.

ESG and the Small Cap Company: Value in Developing and Communicating a Sustainability Strategy

More and more investors, consumers, proxy advisors, analysts, and other stakeholders in corporate America and abroad are calling for transparency into companies’ environmental, social and governance stewardships. Many companies struggle with not only *what* they should be doing, but *how* they should be communicating it publicly. Join this session for a look at recent trends in reporting, and learn how smaller companies can build their value proposition and differentiate themselves in this evolving area.



D'Anne Hurd

Independent Trustee, Pax World Funds, Pax Ellevest Fund; Director, EILEEN FISHER, Inc., Peckham Industries, Inc., Monzite Corporation



Veena Ramani

Program Director, Capital Market Systems, Ceres



Amy Rojik

Partner, Center for Corporate Governance and Financial Reporting, BDO USA LLP

3:45 p.m. – 4:00 p.m.

Networking Break

4:00 p.m. – 5:00 p.m.

Is the Glass Half Full or Half Empty? A Case Study and Peer Exchange on Risk Management

Explore with us real life scenario-driven impacts on smaller organizations related to governance, financing, and resourcing as we work through a live case study discussion and provide take away actions board members can immediately apply within their own organizations.



Adam Epstein

Founder, Third Creek Advisors



Yvonne Schlaeppli

Independent Director, AstroNova Inc., Stallergenes Greer plc

5:00 p.m.

Symposium Concludes
